

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

McCreight et al.

Serial No.:

Group Art Unit:

Filed:

Examiner:

For: AQUEOUS DISPERSIONS OF CARBOXYLATED CELLULOSE ESTERS, AND
METHODS OF MAKING THEMMail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENTUNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

☐ An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

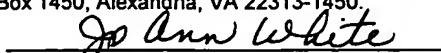
Respectfully submitted,

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CERTIFICATE OF MAILING UNDER 37 CFR 1.10

"EXPRESS MAIL" Mailing Label No.: ER 786766776 US

I hereby certify that this Transmittal of Information Disclosure Statement Under 37 CFR 1.97(b) and the Documents referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Jo Ann WhiteMarch 11, 2004
Date of Deposit

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+

Substitute for form 1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number 71574

First Named Inventor McCreight et al.

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Sheet

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of

2

Examiner Name

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		2,768,161		Malm et al.	10-23-1956
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		4,590,265		Bogan et al.	05-20-1986
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		2002/0028874	A1	Nothnagel et al.	03-07-2002

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Attorney Docket Number		71574			
				First Named Inventor		McCreight et al.			
				COMPLETE IF KNOWN					
				Application Number					
				Filing Date					
Group Art Unit									
Sheet	2	of	2	Examiner Name					

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	0 138 703	A2	Eastman Kodak Company	04-24-1985	
		WO	00/18826	A1	McWhorter Technologies, Inc.	04-06-2000	
		WO	02/10297	A2	Eastman Chemical Company	02-07-2002	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
		I. D. Sand, "The Properties and Applications of Ozonolyzed Cellulose Acetate Butyrate," Polymer Material Science Engineering, 1987, pp. 57-63	
		Eastman Chemical Company Publication M-167Y. "Solvent Selector Chart," October, 2003	

Examiner Signature		Date Considered	
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